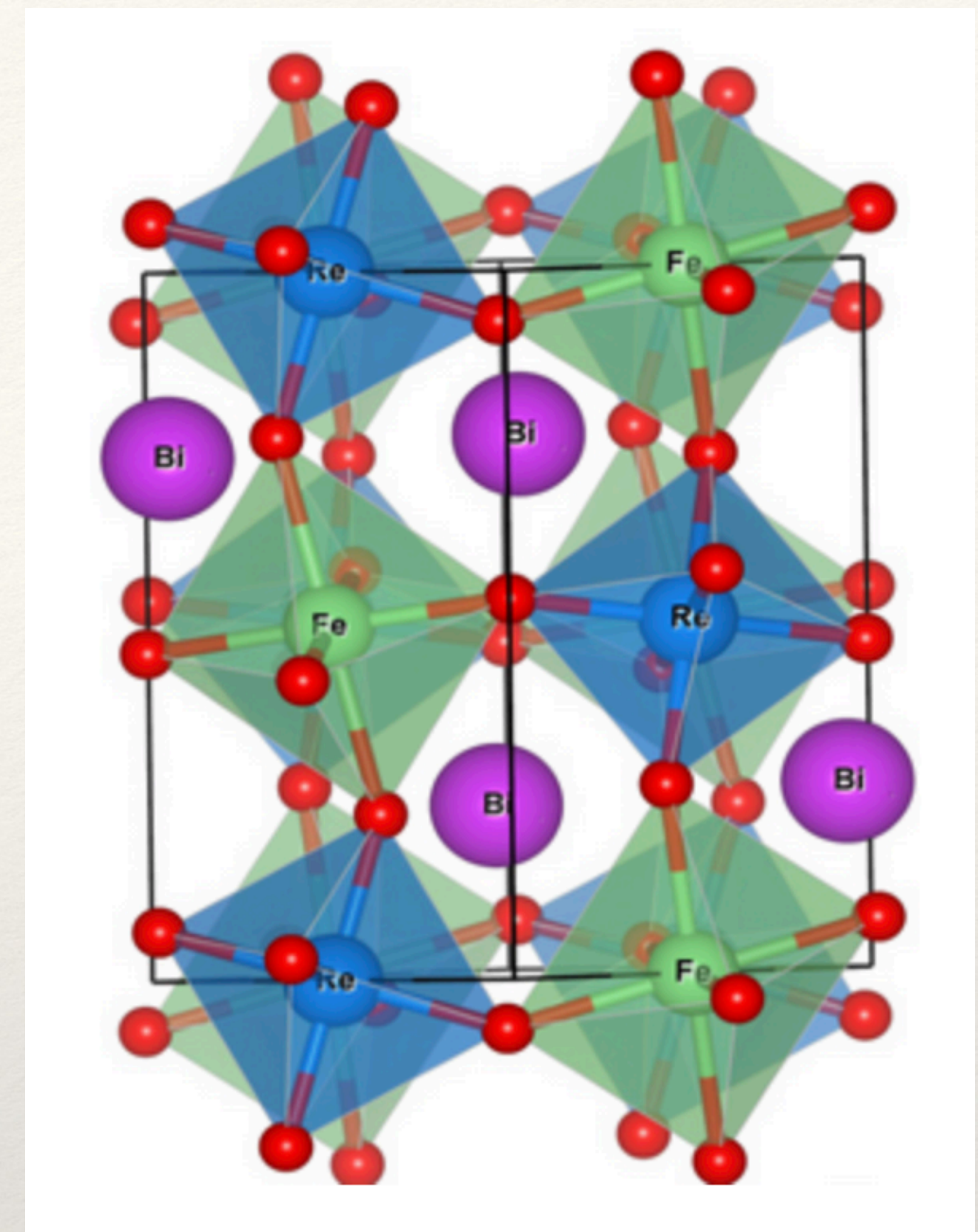


Structure of Solids - Reciprocal Lattice

Lecture 3

CHM 637

Chemistry & Physics of Materials



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Lecture Plan

- Introduction to the reciprocal lattice
- Fourier analysis in lattices

Interplanar distances and Miller indices

System	Interplanar spacing, d_{hkl}	Unit cell volume
Cubic	$1/d_{hkl}^2 = [h^2 + k^2 + l^2]/a^2$	a^3
Tetragonal	$1/d_{hkl}^2 = [(h^2 + k^2)/a^2] + [l^2/c^2]$	a^2c
Orthorhombic	$1/d_{hkl}^2 = [h^2/a^2] + [k^2/b^2] + [l^2/c^2]$	abc
Monoclinic	$1/d_{hkl}^2 = [h^2/a^2 \sin^2 \beta] + [k^2/b^2] + [l^2/c^2 \sin^2 \beta] - [(2hlc \cos \beta)/(ac \sin^2 \beta)]$	$abc \sin \beta$
Triclinic*	$1/d_{hkl}^2 = [1/V^2] \{[S_{11}h^2] + [S_{22}k^2] + [S_{33}l^2] + [2S_{12}hk] + [2S_{23}kl] + [2S_{13}hl]\}$	$abc \sqrt{(1 - \cos^2 \alpha - \cos^2 \beta - \cos^2 \gamma + 2 \cos \alpha \cos \beta \cos \gamma)}$
Hexagonal	$1/d_{hkl}^2 = [4/3] [(h^2 + hk + k^2)/a^2] + [k^2/b^2] + [l^2/c^2]$	$[\sqrt{(3)}/2] [a^2c] \approx 0.866 a^2c$
Rhombohedral	$1/d_{hkl}^2 = \{[(h^2 + k^2 + l^2 \sin^2 \alpha) + 2(hk + kl + hl) (\cos^2 \alpha - \cos \alpha)]/[a^2(1 - 3 \cos^2 \alpha + 2 \cos^3 \alpha)]\}$	$a^3 \sqrt{(1 - 3 \cos^2 \alpha + 2 \cos^3 \alpha)}$

* $S_{11} = b^2c^2 \sin^2 \alpha$; $S_{22} = a^2c^2 \sin^2 \beta$; $S_{33} = a^2b^2 \sin^2 \gamma$; $S_{12} = abc^2(\cos \alpha \cos \beta - \cos \gamma)$; $S_{23} = a^2bc(\cos \beta \cos \gamma - \cos \alpha)$; $S_{13} = ab^2c(\cos \gamma \cos \alpha - \cos \beta)$; $V = \text{unit cell volume}$.

Reciprocal lattice

$(n_1, n_2, n_3) \longrightarrow$ Direct lattice $n_i \in \mathbb{Z}$

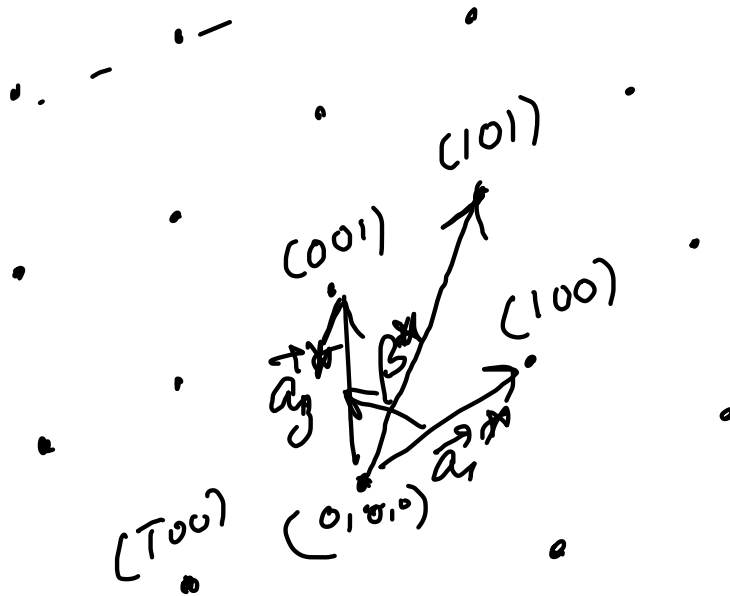
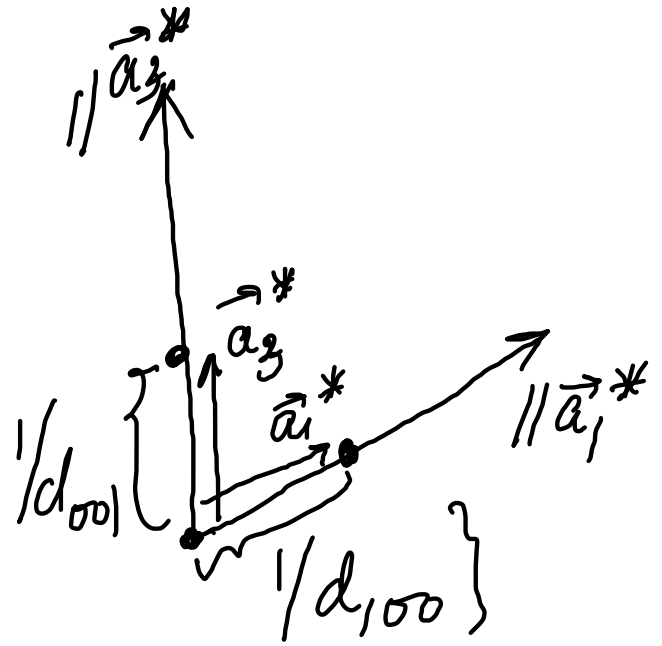
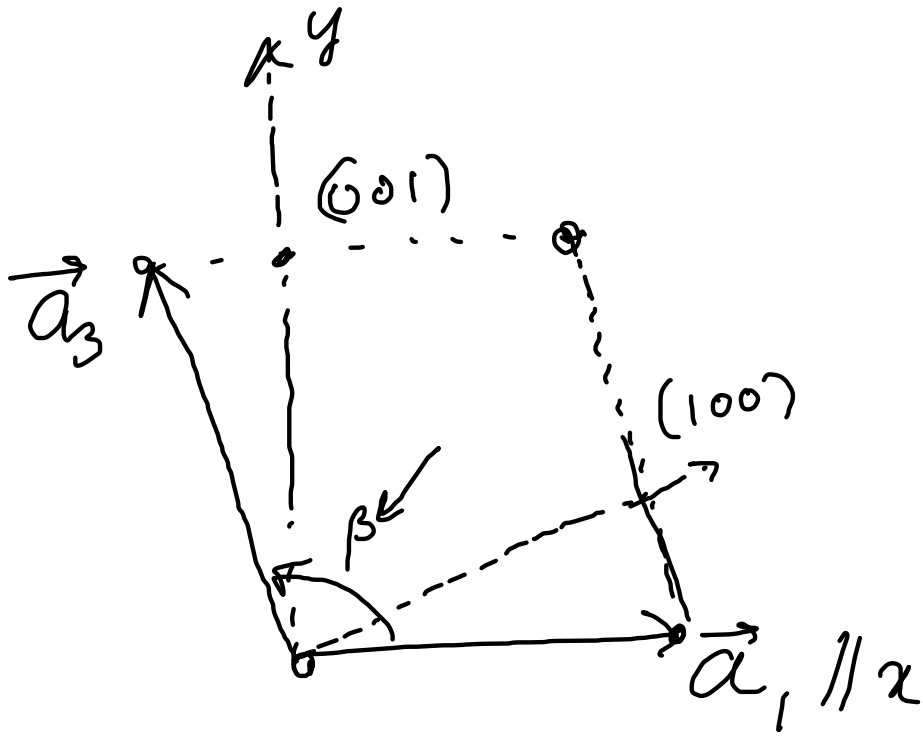
$(h, k, l) \longrightarrow$ Reciprocal lattice

$\vec{a}_1, \vec{a}_2, \vec{a}_3 \longrightarrow$ lattice axes of the Direct lattice

$\vec{a}_1^*, \vec{a}_2^*, \vec{a}_3^* \longrightarrow$ primitive reciprocal lattice vectors

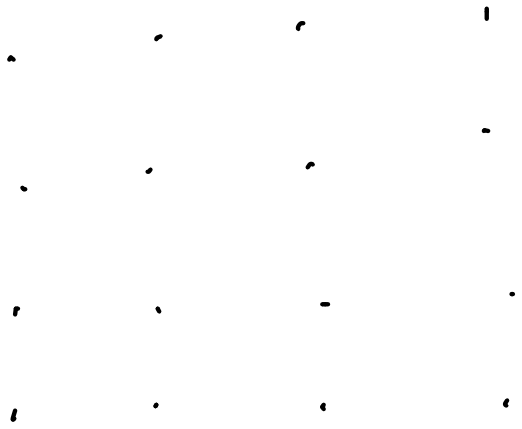
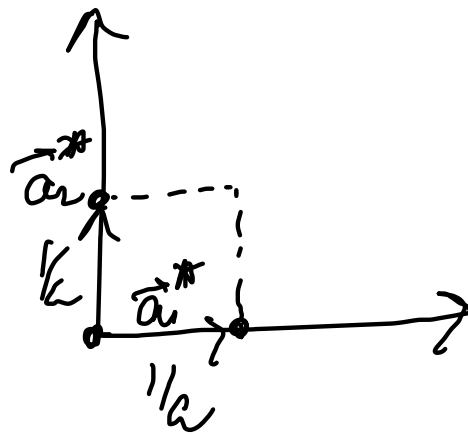
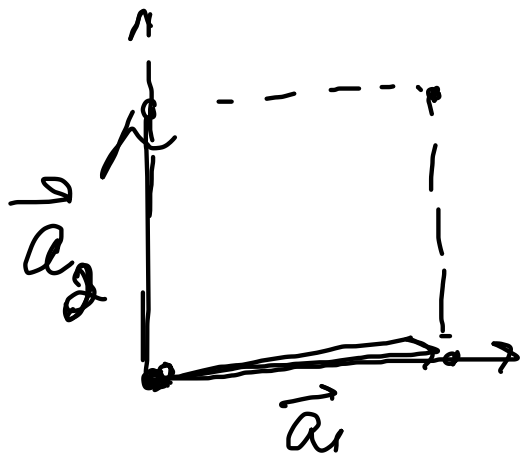
$$|\vec{a}_1^*| = 1/d_{100} \quad |\vec{a}_3^*| = 1/d_{001}$$

$$|\vec{a}_2^*| = 1/d_{010}$$



Reciprocal
lattice

$$1/d_{hkl}$$



Fourier Series on a lattice

$$V(x \pm \frac{m}{n}a) = V(x) \quad m \in \mathbb{Z}$$

1-d periodic fn.

$$V(x) = \sum_{n=-\infty}^{\infty} e^{i n \frac{2\pi x}{a}} V_n \rightarrow \text{F.S.}$$

where

$$V_n = \frac{1}{a} \int_0^a dx V(x) e^{-i \frac{2\pi n x}{a}}$$

Fourier components

$$\frac{1}{a} \int_0^a e^{i \frac{2\pi(m-n)x}{a}} dx = \delta_{m,n}$$

For 3-dimensions, $\vec{a}_1, \vec{a}_2, \vec{a}_3 \rightarrow$ primitive lattice vectors

$$V(\vec{r} + \vec{R}) = V(\vec{r})$$

lattice vector $\leftarrow \vec{R} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$
 $n_1, n_2, n_3 \in \mathbb{Z}$

$$V(\vec{r}) = \sum_{\vec{G}} e^{i\vec{G} \cdot \vec{r}} V_{\vec{G}}$$

$\vec{g}_1, \vec{g}_2, \vec{g}_3 \rightarrow$ primitive reciprocal lattice vectors

$$\vec{G} = j_1 \vec{g}_1 + j_2 \vec{g}_2 + j_3 \vec{g}_3 \rightarrow \text{general reciprocal lattice vectors}$$

$$j_1, j_2, j_3 \in \mathbb{Z}$$

$$\vec{g}_1 = \frac{2\pi \vec{a}_2 \times \vec{a}_3}{[\vec{a}_1 \vec{a}_2 \vec{a}_3]} \rightarrow \vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)$$

$L^2/L^3 \sim 1/L$

$$\vec{g}_2 = \frac{2\pi \vec{a}_3 \times \vec{a}_1}{[\vec{a}_1 \vec{a}_2 \vec{a}_3]} \equiv \text{Vol. of unit cell} = V$$

$$\vec{g}_3 = \frac{2\pi \vec{a}_1 \times \vec{a}_2}{[\vec{a}_1 \vec{a}_2 \vec{a}_3]}$$

$$V(\vec{r}) = \sum_{j_1} \sum_{j_2} \sum_{j_3} V_{j_1 j_2 j_3} e^{i \vec{r} \cdot (j_1 \vec{g}_1 + j_2 \vec{g}_2 + j_3 \vec{g}_3)}$$

$$\equiv \sum_{\vec{G}} V_{\vec{G}} e^{i \vec{G} \cdot \vec{r}}$$

$$e^{i 2\pi m} = 1$$

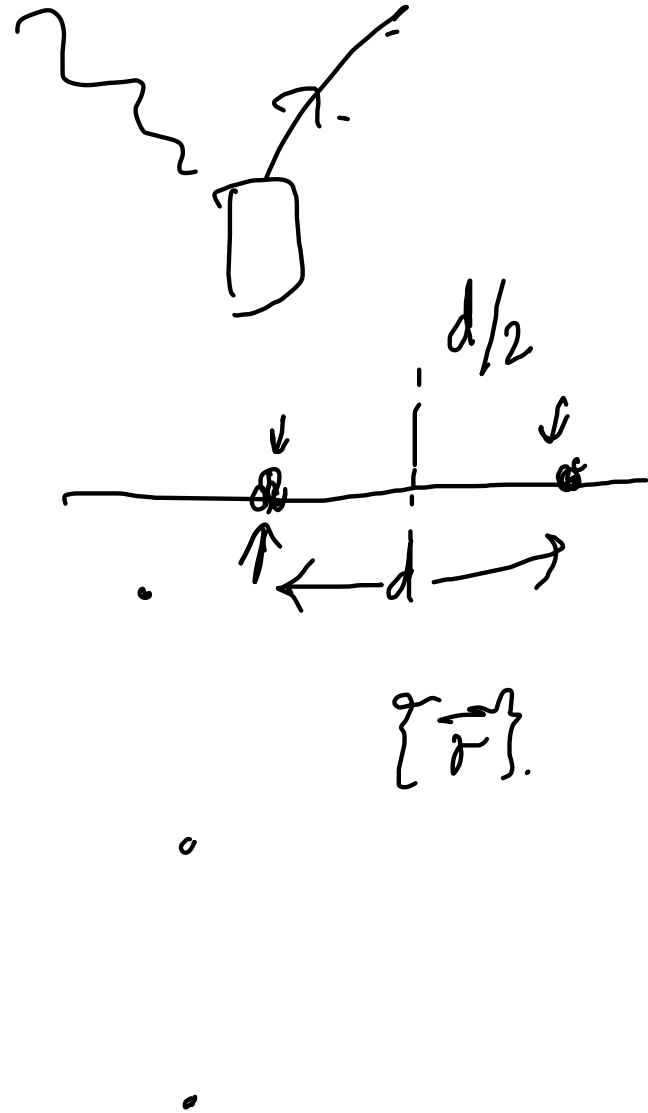
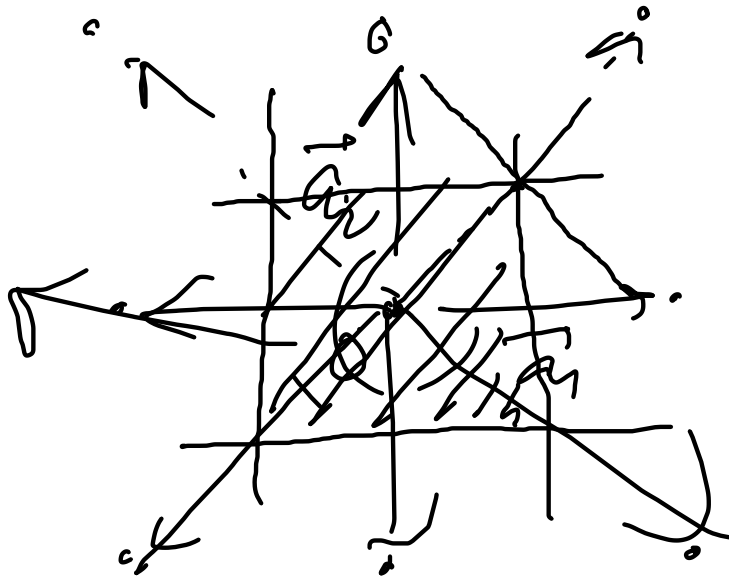
$$\vec{g}_i \cdot \vec{a}_j = 2\pi \delta_{i,j} \quad \vec{R} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$$

$$\begin{aligned} V(\vec{r} + \vec{R}) &= \sum_{\vec{G}} V_{\vec{G}} e^{-i \vec{G} \cdot (n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3)} \\ &\quad \times e^{i \vec{G} \cdot \vec{r}} \\ &= \sum_{\vec{G}} V_{\vec{G}} e^{i \frac{(j_1 n_1 + j_2 n_2 + j_3 n_3) 2\pi}{1}} \times e^{i \vec{G} \cdot \vec{r}} \\ &= \sum_{\vec{G}} V_{\vec{G}} e^{i \vec{G} \cdot \vec{r}} = V(\vec{r}) \end{aligned}$$

$\vec{g}_1, \vec{g}_2, \vec{g}_3$

Wigner-Seitz cell

$\vec{g}_1, \vec{g}_2, \vec{g}_3$
 $\vec{g}_1, \vec{g}_2, \vec{g}_3$
 $\vec{g}_1, \vec{g}_2, \vec{g}_3$



$$\int_{WS} e^{i(\vec{Q} - \vec{Q}') \cdot \vec{r}} d^3r = V_{WS} \delta_{\vec{Q}, \vec{Q}'}$$

$$\delta_{j_1 j_1'} \delta_{j_2 j_2'} \delta_{j_3 j_3'}$$

$$V_{\vec{Q}} = \frac{1}{V_{WS}} \int_{WS} V(\vec{r}) e^{-i\vec{Q} \cdot \vec{r}} d^3r$$

$$V_{WS} = |\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)| = V$$

$$\vec{G} = \underset{1}{j_1} \vec{g}_1 + \underset{1}{j_2} \vec{g}_2 + \underset{1}{j_3} \vec{g}_3$$

$$\vec{G} \equiv (j_1, j_2, j_3)$$

Common divisor \Rightarrow reducible

no common divisor \Rightarrow irreducible.

~~(2, 4, 6)~~ \rightarrow reducible

(1, 2, 3) \rightarrow irreducible

Consider the set of all (direct) pts.

\vec{r} , such that for a given $\vec{G} = (G_1, G_2, G_3)$
irreducible

we have $\vec{G} \cdot \vec{r} = 2\pi$

$$\Rightarrow \hat{G} \cdot \vec{r} = \frac{2\pi}{|\vec{G}|} = \frac{2\pi}{G}$$

$\vec{r} \cdot \hat{n} = d \rightarrow$ eqn of a plane

$\Rightarrow \vec{r}$ lie on a plane whose normal is \hat{G} & its distance from the origin is $2\pi/G$

$$\vec{G}_2 \cdot \vec{r} = 2\pi m$$

$$m \in \mathbb{Z}$$
$$m > 0$$

$$\hat{G}_2 \cdot \vec{r} = \frac{2\pi}{G_2} m$$

A family of planes normal to \hat{G}_2
and separated by $2\pi/G_2 = d$

$$\vec{G} \cdot \vec{r} = 2\pi$$

$$\vec{G} \cdot (\vec{a}_1/h) = 2\pi$$

$$\vec{G} = j_1 \vec{g}_1 + j_2 \vec{g}_2 + j_3 \vec{g}_3$$

$$\vec{G} \cdot (\vec{a}_1/h) = 2\pi j_1/h = 2\pi$$

$$\Rightarrow j_1/h = 1 \text{ or } j_1 = h$$

if $\vec{r} = \vec{a}_2/k$ then $j_2 = k$

$$j_3 = l$$

$$(j_1, j_2, j_3) \leftrightarrow (h, k, l)$$

