

PHY 106 Quantum Physics Instructor: Sebastian Wüster, IISER Bhopal, 2018

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3.2) Introduction to Quantum Mechanics

Seen in week 7 that matter-wave concept can successfully explain a lot about atoms.

However we need now a formal basis.

Classically:Quantum:Newton Eq. $\overrightarrow{F} = m\overrightarrow{a}$???Newton Eq. $\overrightarrow{F} = m\overrightarrow{a}$ Q: what is needed here?A: a wave-equation (c.f. section 2.1.2.)Trajectory $\overrightarrow{p}(t)$ $\overrightarrow{r}(t)$ Wave-function $\Psi(\overrightarrow{r}, t)$

3.2.1) Time dependent Schrödinger's equation

Re-consider wave function Eq. (57): $\Psi(x, t) = A \cos[2\pi \left(\frac{x}{\lambda_{dB}} - \nu t\right)]$

We know from week 3 this is a solution of Eq. (13):

$$\frac{\partial^2}{\partial x^2} \Psi(x,t) = \frac{1}{\lambda^2 \nu^2} \frac{\partial^2}{\partial t^2} \Psi(x,t)$$
$$\lambda = \frac{h}{p} \qquad \nu = \frac{E}{h}$$

Literature for this part: L. Schiff "quantum-mechanics", item 6, page 20

3.2.1) Time dependent Schrödinger's equation

Re-consider wave function Eq. (57):

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We know from week 3 this is a solution of Eq. (13):

$$\frac{\partial^2}{\partial x^2} \Psi(x,t) = \begin{bmatrix} p^2 & \partial^2 \\ E^2 & \partial t^2 \end{bmatrix} \Psi(x,t) \quad (77)$$

$$= \begin{bmatrix} 4m^2 \\ p^2 \end{bmatrix} Problem: should be part of solution only. Not of equation (see Newton)$$

Literature for this part: L. Schiff "quantum-mechanics", item 6, page 20

Turns out can't get it to work with Ψ above, need....

- Earlier, we thought $\sqrt{-1} = ?$ does not work.
- •Now let's just define $\sqrt{-1} = i$ (76)

i imaginary unit

• We call numbers containing *i* complex numbers

$$z = a + ib$$
real part of z imaginary part of z

(a,b) are usual real numbers

• Some ramifications:

Every polynomial equation now has a solution, e.g.: $a_2z^2 + a_1z + a_0 = 0$

Example:

$$z^{2} + 2z + 10 = 0 \iff (z+1)^{2} = -9$$



- •Functions of complex numbers, e.g. $f(z) = \frac{z+5}{z-2}$
- •Most important example for this course

$$\exp(z) = \sum_{n=0}^{\infty} \frac{z^n}{n!}$$

• Find formula:

 $\exp(a + ib) = \exp(a)[\cos(b) + i\sin(b)]$ (78)

$$\exp(ib) = \cos(b) + i\sin(b)$$
(78b)

• In the complex plane:





- We can now express *sin* and *cos* using Eq. (77b): $\cos(x) = \frac{1}{2} \left(e^{ix} + e^{-ix} \right) \qquad (82a)$ $\sin(x) = \frac{1}{2i} \left(e^{ix} - e^{-ix} \right) \qquad (82b)$
- This makes your life better. Can now forget about trig-identities.
- •Can simply use $\exp(a + b) = \exp(a)\exp(b)$ (83)

... for manipulations such as in section (2.3.1.)

With complex numbers, let us fix new:

Quantum wave function of free particle $\Psi(x, t) = A \exp[i(kx - \omega t)]$ (84)

•Still:
$$k = \frac{p}{\hbar}$$
 $\omega = \frac{E}{\hbar}$

- •This replaces Eq. (54). Forget Eq. (54)!!!
- •Note, probability density: $\rho(x,t) = |\Psi(x,t)|^2 = |A|^2 = const.$

With complex numbers, let us fix new:

Quantum wave function of free particle $\Psi(x, t) = A \exp[i(kx - \omega t)]$ (84)



With complex numbers, let us fix new:

Quantum wave function of free particle $\Psi(x, t) = A \exp[i(kx - \omega t)]$

(84)



Now let us re-attempt finding a wave-equation that has (84) as a solution....

Schrödinger's equation
$$k = \frac{p}{\hbar}$$
 $\omega = \frac{E}{\hbar}$
From Eq. (84): $\Psi(x, t) = A \exp[i(kx - \omega t)]$
 $p\Psi(x, t) = -i\hbar \frac{\partial}{\partial x} \Psi(x, t)$ $p^2 \Psi(x, t) = -\hbar^2 \frac{\partial^2}{\partial x^2} \Psi(x, t)$
Also: $E\Psi(x, t) = i\hbar \frac{\partial}{\partial t} \Psi(x, t)$

Suppose particle feels potential energy U(x,t):

$$E = \frac{p^2}{2m} + U(x, t)$$

try: $i\hbar \frac{\partial}{\partial t} \Psi(x,t) = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x,t)\right)\Psi(x,t)$

This gives indeed the

Time-dependent Schrödinger equation (**TDSE**) of particle in 1D in a potential U(x,t) $i\hbar \frac{\partial}{\partial t} \Psi(x,t) = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x,t)\right)\Psi(x,t)$ (85)

• The classical equivalent is

$$F = ma = m\ddot{x} = -\frac{\partial}{\partial x}U(x,t)$$
(86)

•It contains only the problem (particle, potential) and can give **any** dynamics [unlike Eq. (77)]

Time-dependent Schrödinger equation (TDSE) of particle in 1D in a potential *U(x,t)*

$$i\hbar\frac{\partial}{\partial t}\Psi(x,t) = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x,t)\right)\Psi(x,t)$$
(85)

• In 3D

$$i\hbar\frac{\partial}{\partial t}\Psi(x, y, z, t) = \left(-\frac{\hbar^2}{2m}\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) + U(x, y, z, t)\right)\Psi(x, y, z, t)$$
(87)

•Note, we haven't really derived Eq. (85). It cannot be derived

Example: The *free* particle We had used the wavefunction $\Psi(x, t) = A \exp[i(kx - \omega t)] \qquad \text{repeat (84)}$ to associate $E... = i\hbar \frac{\partial}{\partial t}... \quad p... = -i\hbar \frac{\partial}{\partial x}...$ in motivating the TDSE Eq. (85). For U(x,t)=0 the function (84) is in fact a solution of the TDSE, if $E = \frac{p^2}{p}$ This is the case for a **free particle**, which is not

subject to any potential.

Verification...

Example (contd.) Free particle wave function $\Psi(x,t) = A \exp[i(kx - \omega t)]$ repeat (84) TDSE $i\hbar \frac{\partial}{\partial t} \Psi(x,t) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \Psi(x,t)$ $i\hbar(-i\omega)\Psi(x,t) = \left(-\frac{\hbar^2}{2m}\right)(ik)^2\Psi(x,t)$ $\hbar\omega\Psi(x,t) = \left(\frac{\hbar^2k^2}{2m}\right)\Psi(x,t)$ $\hbar\omega = h\nu = E = \frac{p^2}{2}$ $\hbar k = p$ matches

Example: Numerical solution of TDSE

- •TDSE is a first-order differential equation in time
- If we know $\Psi(x, t = 0)$ we can find $\Psi(x, t)$ at all later times.
- •Let's start with:

$$\Psi(x, t = 0) = \mathcal{N}e^{-\frac{(x - x_0)^2}{2\sigma_x^2}}$$

•Note, this is a Gaussian wave packet, c.f. Sec. (2.3.3) with $k_0 = 0$.

Example: Numerical solution of TDSE





Example: Numerical solution of TDSE

- •We see initially behavior like we would expect classically (particle "**falling down**" potential gradient)
- •But already it always has a **distribution** of positions
- •At late time, lots of wave like **interference** effects are visible.

Linearity and superposition

- Since now all information about the dynamics/ motion of a particle is encoded in the wave function, mechanics becomes **probabilistic**
- The TDSE is linear. This means we have again:

Superposition principle

If $\Psi_1(x,t)$ and $\Psi_2(x,t)$ are solutions to the TDSE, so is $\Psi_3(x,t) = d_1\Psi_1(x,t) + d_2\Psi_2(x,t)$ (88)

In analytical solutions, we have to take care of....



Expectation values

Statistics: If x is a random variables which can have outcomes x_k with probability ρ_k we define Expectation value $E[x] = \sum x_k \rho_k$ (89)

•Expectation values corresponds to the average over a very large number of realisations.

•Example: Throw a 6-sided dice.

$$x_k = k, k = 1,...,6$$

 $\rho_k = \frac{1}{6}$
 $E[x] = \frac{1+2+3+4+5+6}{6} = 3.5$

Expectation values

- For a continuous range of possible outcomes, Eq. (89) becomes $E[x] = \int dx \, x \, \rho(x)$ (90)
- •We can now apply this to the quantum wave function to find the

Position expectation value of particle with wavefunction $\Psi(x,t)$ $\langle x \rangle = \int_{-\infty}^{\infty} dx \ x |\Psi(x,t)|^2$ (91)

•This is the **average** over many position measurements of identically prepared particles

How do we find expectation values of **momentum** or **energy**?

• Statistics:
$$\langle p \rangle = \int dp \, p \, \rho(p)$$

But wave-function does not give us **probability** of momentum directly. We must use....

Momentum operator $\hat{p} = -i\hbar \frac{\partial}{\partial x}$ (92)

- •Motivation: Eq. (84b)
- •Notation: We denote all operators with "hat".



Discretize the functions and put them into a vector:



For the specific derivative operator, we can even approximately write an explicit form: Definition of **derivative / slope**: $\frac{\partial}{\partial x} f(x)|_{x=x_0} = \frac{f(x_0 + \Delta x)}{\frac{\partial}{\partial x}}$

$$f_{x_0} = \frac{f(x_0 + \Delta x) - f(x_0 - \Delta x)}{\Delta x}$$



Summary, operators

We can then think of **operators** as **matrices**

$$\hat{O} \to \underline{O}$$

(matrices map vectors onto other vectors, Operators map functions onto other functions)

$$\underline{\underline{O}}: \overrightarrow{v} \to \overrightarrow{w}, \ \overrightarrow{w} = \underline{\underline{O}} \overrightarrow{v}$$
$$\hat{\underline{O}}: f(x) \to g(x)$$

It turns out:...

In quantum mechanics, every observable is represented by an operator

Its expectation value is then:

Expectation value of any operator

$$\langle \hat{O} \rangle = \int_{-\infty}^{\infty} dx \, \Psi^*(x,t) \hat{O} \Psi(x,t)$$
 (93)

measurable quantity

•e.g. momentum expectation value:

$$\langle \hat{p} \rangle = \int_{-\infty}^{\infty} dx \,\Psi^*(x,t) \left(-i\hbar \frac{\partial}{\partial x} \right) \Psi(x,t)$$
 (94)

•!! Ordering in Eq. (93) is important for differential operators

Example: Gaussian wave packet

Convert our earlier Gaussian wave-packet Eq. (42), into one for complex waves, as Eq. (84)



Example (contd.)
Let us calculate the expectation value of position
$$\langle \hat{x} \rangle$$
 and momentum $\langle \hat{p} \rangle$
Let's slightly shift wave packet: $\Psi(x) = \frac{1}{(\pi \sigma_x^2)^{1/4}} e^{ik_0 x} e^{-\frac{(x-x_0)^2}{2\sigma_x^2}}$
 $\langle \hat{x} \rangle = \int_{-\infty}^{\infty} dx \, \Psi^*(x) \hat{x} \, \Psi(x)$
 $= \int_{-\infty}^{\infty} dx \frac{1}{\sqrt{\pi}\sigma_x} |e^{ik_0 x}|^2 |e^{-\frac{(x-x_0)^2}{2\sigma_x^2}}|^2 x$
 $\tilde{x} = x - x_0$
 $= \frac{1}{\sqrt{\pi}\sigma_x} \int_{-\infty}^{\infty} dx \, e^{-\frac{(x-x_0)^2}{\sigma_x^2}} x = \frac{1}{\sqrt{\pi}\sigma_x} \int_{-\infty}^{\infty} d\tilde{x} e^{-\frac{\tilde{x}^2}{\sigma_x^2}} (\tilde{x} + x_0)$
 $d\tilde{x} = dx$



Example (contd.)
For momentum expectation value:

$$\langle \hat{p} \rangle = \int_{-\infty}^{\infty} dx \, \Psi^*(x,t) \left(-i\hbar \frac{\partial}{\partial x} \right) \Psi(x,t) \quad \Psi(x) = \frac{1}{(\pi \sigma_x^2)^{1/4}} e^{ik_0 x} e^{-\frac{(x-x_0)^2}{2\sigma_x^2}}$$

$$= \frac{1}{\sqrt{\pi} \sigma_x} \int_{-\infty}^{\infty} dx \, e^{-ik_0 x} e^{-\frac{(x-x_0)^2}{2\sigma_x^2}} \left(-i\hbar \frac{\partial}{\partial x} \right) e^{ik_0 x} e^{-\frac{(x-x_0)^2}{2\sigma_x^2}}$$

$$= \frac{1}{\sqrt{\pi} \sigma_x} \int_{-\infty}^{\infty} dx \, e^{-ik_0 x} e^{-\frac{(x-x_0)^2}{2\sigma_x^2}} \left(\hbar k_0 e^{-ik_0 x} e^{-\frac{(x-x_0)^2}{2\sigma_x^2}} + e^{-ik_0 x} \left(-\frac{(x-x_0)}{2\sigma_x^2} \right) e^{-\frac{(x-x_0)^2}{2\sigma_x^2}} \right)$$
excercise

$$= \cdots = \hbar k_0 \equiv p_0$$
Thus on **average**, this particle has **momentum** P_0

3.2.2) Time independent Schrödinger equation

Frequently, e.g.) examples in 3.2.1), potential does **not** actually depend on time U(x, t) = U(x)

For example free particle U(x, t) = 0Note we can write Eq. (84) as $\Psi(x, t) = A \exp[i(kx - \omega t)]$ $\Psi(x,t) = \phi(x) \exp[-i\omega t]$ (98) Insert into TDSE: $i\hbar \frac{\partial}{\partial t} \Psi(x,t) = \dots \qquad \hbar \omega \Psi(x,t) = \dots \qquad E \Psi(x,t) = \dots$

Time independent Schrödinger equation

Using this replacement on the lhs, we are led to the

Time-independent Schrödinger equation (**TISE**) of particle in 1D in a potential U(x) $E_n \phi_n(x) = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)\phi_n(x)$ (99)

- •The equation has many solutions n=0,1,2,... with different energies E_n
- If we start the TDSE on such a solution, i.e. $\Psi(x,0) = \phi_n(x)$ we get (see Eq. 107b later) $\Psi_n(x,t) = \phi_n(x)e^{-i\frac{E_n}{\hbar}t}$ (99b)

Thus: $|\Psi(x,t)|^2 = |\phi_n(x)|^2$ probability density const!!

Time independent Schrödinger equation

Time-independent Schrödinger equation (**TISE**) of particle in 1D in a potential U(x,t) $E_n\phi_n(x) = \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2} + U(x)\right)\phi_n(x)$ (100)

• The operator on the rhs. of the TISE is so important that it has a special name:

Hamiltonian (operator):

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x)$$
(101)

• It represents the **total** energy of the particle

3.2.2.1.) Example: Particle in a box

We can best understand the relevance of the TISE by revisiting the example from section 2.4.3) more mathematically:



We shall solve: $E_n \phi_n(x) = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U(x) \right) \phi_n(x)$

First note: $\phi_n(x) = 0$ outside the box.

Reason: (A) Let's use Eq. (93) with $\hat{O} = U(\hat{x})$ Expectation value of potential energy

 $\langle U(\hat{x}) \rangle = \int_{-\infty}^{\infty} dx \, U(x) |\phi_n(x)|^2$ This would be **infinite** if $\phi_n(x) \neq 0$ outside box. (B) Wavefunction must be **continuous**

[proof: advanced courses]

 \Rightarrow Boundary condition

 $\phi_n(0) = \phi_n(L) = 0$ (c.f. section 2.4.3)

Inside the box
$$U=0$$
 $E_n\phi_n(x) = -\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2}\phi_n(x)$ (102)

Solution? Revisit section 1.2):

$$\phi_n(x) = A \sin\left(\frac{\sqrt{2mE_n}}{\hbar}x\right) + B \cos\left(\frac{\sqrt{2mE_n}}{\hbar}x\right)$$
(103)

[verify by insertion into (98)]

To fulfill B.C. $\phi_n(0) = 0$ B = 0 $\phi_n(L) = 0$ $\frac{\sqrt{2mE_n}}{\hbar}L = n\pi$ n = 1,2,...We thus again arrive at $E_n = \frac{n^2\hbar^2\pi^2}{2mL^2}$ [= Eq. (65)]

We have also found the wave-function:

$$\phi_n(x) = A\sin(k_n x) \qquad k_n = \frac{n\pi}{L}$$
(104)

Finally can find A by requiring **normalisation** [Eq.(59)] $1 = \int_{-\infty}^{\infty} |\phi_n(x)|^2 dx \implies A = \sqrt{\frac{2}{L}}$ [exercise!]

We now solved our first quantum problem:

Solution of TISE for particle in the box

$$E_n = \frac{n^2 \hbar^2 \pi^2}{2mL^2} \qquad \phi_n(x) = \sqrt{\frac{2}{L}} \sin(k_n x) \qquad k_n = \frac{n\pi}{L}$$
(105)



Legend: Diagrams as on he previous slide will occur frequently throughout this section



Potential energy U(x) (grey shade/line)

Energy values (green lines, same axes as U)

Wave-function (red line), not to scale [has different units!]. The zero for each of these is the green line **Legend:** ... thus if we were carefully drawing this information into separate diagrams we get:



En

Again we can calculate expectation values...

We find: $\langle \hat{x} \rangle = \frac{L}{2}$ $\langle \hat{p} \rangle = 0$

Q: E_{kin} nonzero. How does $\langle \hat{p} \rangle = 0$ make sense?



A: For position, we see probability density in any state is symmetric wrt. L/2. So mean position L/2. For momentum, use Eq. (82b) $\sin(kx) = \frac{1}{2i} \left(e^{ikx} - e^{-ikx} \right)$ contains p>0 and p<0!!! (Eq. 55)

3.2.3) Operators and eigenvalues

Let us rewrite the TISE we just solved using $\hat{H}(\text{Eq. 101})$:

$$\hat{H}\phi_n(x) = E_n\phi_n(x) \tag{106}$$

Q: what does that remind you of?

A: Matrix eigenvalue problem:	
$A\overrightarrow{v} = \lambda\overrightarrow{v}$	(103)

•Here A is an (NxN) matrix, \vec{v} an N component vector. λ a real number.

Operators and eigenvalues

We define in general

Operator eigenvalue problem $\hat{O}\varphi_n(x) = o_n\varphi_n(x)$ (107)

- \hat{O} is an operator
- • $\varphi_n(x)$ is called **eigen-function** ("own-function")
- O_n is called **eigen-value** ("own-value")

Example: $\hat{O} = \hat{p} = -i\hbar \frac{\partial}{\partial x}$ $\varphi_n(x) = \exp(ik_n x)$ $o_n = p_n = \hbar k_n$ Free particle wavefet. $\varphi(x)$ is an eigen-function of \hat{p}

Operators and eigenvalues

In this language we understand the

TISE as eigenvalue problem $\hat{H}\phi_n(x) = E_n\phi_n(x)$

(106, rep)

of the Hamiltonian

- •the solutions $\varphi_n(x)$ are called **eigen-states** of the problem
- E_n are the allowed **eigen-energies** of the problem

(c.f. e.g. atom energies Eq. (75))

3.2.4.) Time-dependence from TISE

While the TISE is time-independent, it still allows us to find the time-evolution:

Suppose the initial state for particle in the box is

$$\Psi(x,t=0) = \phi_n(x)$$

with $\phi_n(x)$ from Eq. (105). Assume $\Psi(x, t) = c(t)\phi_n(x)$ Then we have $i\hbar \left[\frac{\partial}{\partial t}c(t)\right]\phi_n(x) = i\hbar \frac{\partial}{\partial t}\Psi(x, t) = \hat{H}\Psi(x, t) = c(t)\hat{H}\phi_n(x) = c(t)E_n\phi_n(x)$ Eq. (85) TDSE, using Hamiltonian

Time-dependence from TISE

Overall

$$i\hbar \frac{\partial}{\partial t}c(t) = E_n \ c(t)$$

Which has the solution $c(t) = e^{-i\frac{E_n}{\hbar}t}$, thus...

Time-dependence of eigen state $\Psi(x,t) = \phi_n(x)e^{-i\frac{E_n}{\hbar}t}$ (107b)

• this justifies comment after Eq. (99)

Time-dependence from TISE

According to (88), we have the superposition principle. What if we now start in a superposition of eigenstates?

$$\Psi(x,t=0) = \frac{1}{\sqrt{2}} \left[\phi_a(x) + \phi_b(x) \right]$$

Time-dependence of superposition $\Psi(x,t) = \frac{1}{\sqrt{2}} \left(\phi_a(x) e^{-i\frac{E_a}{\hbar}t} + \phi_b(x) e^{-i\frac{E_b}{\hbar}t} \right)$ (107c)

•proof: PHY 304 QM

•ramifications: Tutorial 10, online app: <u>http://www.falstad.com/qm1d/</u>