

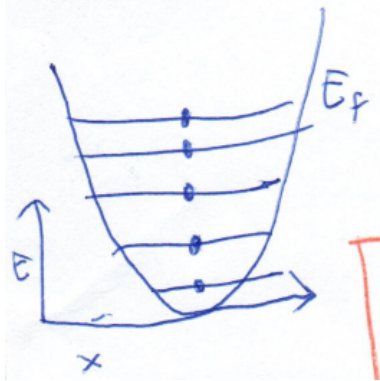
# Week 10

PHY 635 Many-body Quantum Mechanics of Degenerate Gases  
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## 4.8 Trapped Atomic Fermi Gases

Now we explore the ultra-cold atomic Fermi gas further, within a harmonic trap, but initially neglecting interactions (as justified in section 4.7). We then find



**left:** Non-interacting ground state: All single particle states  $|\varphi_n\rangle$  up to  $E = E_F$  are filled with exactly one atom (or  $(2S + 1)$  atoms if we consider them to have spin  $S$ ).

This motivates us to define the

**Fermi-Sea State:**

$$|FS\rangle_N = \prod_{n=0}^{N-1} \hat{a}_n^\dagger |0\rangle \quad (4.19)$$

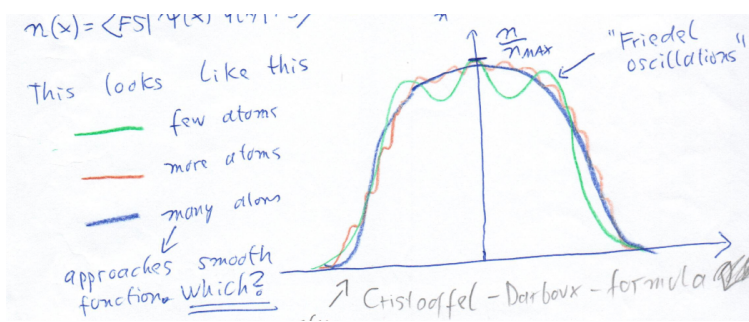
$N = \text{Atom-number}$  and  $E_n < E_F(N)$

Using the Fermi-field operator

$$\hat{\Psi}(x) = \sum_n \varphi_n(x) \hat{a}_n, \quad (4.20)$$

we obtain a total density

$$n(x) = \langle FS | \hat{\psi}^\dagger(x) \hat{\psi}(x) | FS \rangle \underset{\text{exercise}}{=} \sum_n |\varphi_n(x)|^2. \quad (4.21)$$



**left:** Results of (4.21) are plotted on the left for different numbers of atoms. The oscillations visible for smaller  $N$  are called Friedel Oscillations.

### 4.8.1 Thomas-Fermi-approximation

To find the density shape shown as the blue line (for many atoms) in the figures above, we can again use the Thomas-Fermi approximation, see section 3.3.4, however in a slightly different formulation.

Let us assume a large gas, so that we can use the local density approximation. This means we use the results derived in section 4.1, which were assuming a homogeneous system, by instead inserting a slowly varying density  $N/V \rightarrow n(r)$ .

From Eq. (4.6) and Eq. (4.8) we can then find relations between a local Fermi wavenumber/momentum and density and local Fermi-energy, as:

$$n(r) = \frac{k_F^3(r)}{6\pi^2}, \quad \varepsilon_F(r) = \frac{\hbar^2 k_F^2(r)}{2m}. \quad (4.22)$$

(ignoring spin)

The equilibrium density is such that adding one more atom has the same energy everywhere, thus:

$$\frac{\hbar^2 k_F^2(r)}{2m} + V(r) = \mu \quad (4.23)$$

added on Fermi surface      trap

Solving for  $n(r)$  gives us the

#### Thomas-Fermi profile for Fermi gas

$$n(r) = \frac{1}{6\pi^2} \left( \frac{2m}{\hbar^2} [\mu - V(r)] \right)^{\frac{3}{2}} \quad \text{if } \mu > V(r) \quad \text{else } n(r) = 0 \quad (4.24)$$

- This gives the blue line in the previous figure.
- Note for BEC we have  $[\mu - V(r)]^1$ .

We can extend this local semiclassical/like WKB approach to include the momentum distribution and finite temperature effects with the resultant

**Semiclassical distribution function** for a Fermi gas:

$$f(\mathbf{r}, \mathbf{p}) = \frac{1}{\exp[\beta(\frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) - \mu)] + 1} \quad (4.25)$$

- From this we can obtain the total atom number

$$N = \frac{1}{(2\pi\hbar)^3} \int d^3\mathbf{r} d^3\mathbf{p} f(\mathbf{r}, \mathbf{p}) \quad (4.26)$$

or density/momentum density

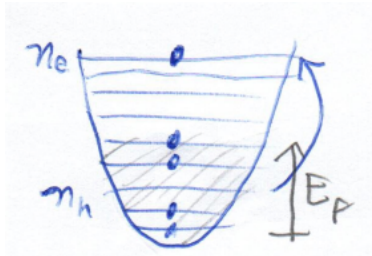
$$n(\mathbf{r}) = \frac{1}{(2\pi\hbar)^3} \int d^3\mathbf{p} f(\mathbf{r}, \mathbf{p}) \quad (4.27)$$

$$\tilde{n}(\mathbf{p}) = \frac{1}{(2\pi\hbar)^3} \int d^3\mathbf{r} f(\mathbf{r}, \mathbf{p}) \quad (4.28)$$

- The same view-point adopted here can give the Thomas-Fermi profile for bosons, derived with different methods for Eq. (3.46). In a (locally) homogeneous BEC there is no kinetic energy, but instead interaction energy  $U_0 n(r)$ , unlike the Fermionic case. Replacing in Eq. (4.23) the Fermi-(kinetic) energy  $\frac{\hbar^2 k_F^2(r)}{2m}$  by  $U_0 n(r)$ , we then find Eq. (3.46).

#### 4.8.2 Excitations of the ideal gas

The simplest excited state of  $|FS\rangle$  is obtained, when we move any atom with  $E < E_F$  to  $E > E_F$ .



**left:** Excitation of a degenerate Fermi gas, an atom has jumped from state  $n_h$  (h for hole) to  $n_e$  (e for excited).

In this we are actually doing two things: creating a hole at  $n_h$  (oscillator quantum number) and excited atom at  $n_e$ .

We can consider these both separately as excited states of a system with  $N - 1$  atoms (for the hole) or  $N + 1$  atoms (for the excited atom). Energy of hole:  $E[\hat{a}_{n_h}|FS\rangle_N] - E[|FS\rangle_{N-1}] = E_F - E_{n_h} = E_F - \hbar\omega(n_h + \frac{1}{2})$

Similarly for excitation  $E[\hat{a}_{n_e}^\dagger|FS\rangle_N] - E[|FS\rangle_{N+1}] = E_{n_e} - E_F$

If we denote by  $n_F$  the oscillator state quantum number up to which all states are filled in the Fermi sea, we have

### Energy of particle or hole excitation

$$E_n = \hbar\omega|n - n_F| \quad (4.29)$$

(homogeneous system would have  $\varepsilon_k = \frac{\hbar^2|k^2 - k_F^2|}{2m}$ )

## 4.9 (Weak) Repulsive interactions in spin mixtures

- So far, we only considered non-interacting Fermi gases, which as per the discussion in section 4.7, is actually realistic for a cold single species gas.
- For two species (e.g.  $\frac{N}{2}$  atoms in one spin state  $|\uparrow\rangle$  and  $\frac{N}{2}$  atoms in another  $|\downarrow\rangle$ ) interactions become relevant since  $|\uparrow\rangle$  atoms do have s-wave interactions with  $|\downarrow\rangle$  atoms.
- Thus also evaporative cooling works again.
- Let us assume interactions are fully repulsive everywhere, that is  $U(r) > 0 \quad \forall r$ .

### 4.9.1 Landau Fermi Liquid

Let us consider “slow” turning on of interactions, so we start with perturbation theory. We use the

#### Hamiltonian for spin-mixture of a Fermi-gas

$$\hat{H} = \int d^3\mathbf{x} \left\{ \sum_{s=\uparrow,\downarrow} \hat{\psi}_s^\dagger(\mathbf{x}) H_0 \hat{\psi}_s(\mathbf{x}) + U_0 \hat{\psi}_\uparrow^\dagger(\mathbf{x}) \hat{\psi}_\downarrow^\dagger(\mathbf{x}) \hat{\psi}_\downarrow(\mathbf{x}) \hat{\psi}_\uparrow(\mathbf{x}) \right\}. \quad (4.30)$$

- The field operator now has a spin index

$$\hat{\psi}_s(\mathbf{x}) = \sum_n \hat{a}_{s,n} \varphi_n(\mathbf{x}) \chi_s$$

$$(\chi_s = \text{spinor i.e. } s = \uparrow \rightarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ and } s = \downarrow \rightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix})$$

$$(\hat{a}_{s,n}|0\rangle = |n, s\rangle, \quad n \rightarrow \text{trap single particle state,} \quad s = |\uparrow\rangle, |\downarrow\rangle)$$

- We have,

$$\{\hat{\psi}_s(\mathbf{x}), \hat{\psi}_{s'}^\dagger(\mathbf{x}')\} = \delta_{ss'} \delta^{(3)}(\mathbf{x} - \mathbf{x}'). \quad (4.31)$$

- The Hamiltonian already includes the fact that only atoms in two different spin-states can interact, see section 4.7.

For simplicity, we only consider a homogeneous system, with the following expansion for the

**Fermion field operator:**

$$\hat{\psi}_s(\mathbf{x}) = \sum_{\mathbf{k}} \frac{\hat{a}_{s,\mathbf{k}}}{\sqrt{2\pi^3}} \underbrace{\varphi_{\mathbf{k}}(\mathbf{x})}_{\text{plane waves}} \chi_s, \quad \varphi_{\mathbf{k}}(\mathbf{x}) = \frac{1}{\sqrt{\mathcal{V}}} e^{i\mathbf{k}\mathbf{x}}, \quad (4.32)$$

where  $\mathcal{V}$  is a box-normalisation factor.

Using

$$(2\pi)^3 \delta^3(\mathbf{x}) = \int d^3\mathbf{x} e^{i\mathbf{k}\mathbf{x}}$$

we obtain,

**Momentum-space Hamiltonian for the spin-mixture**

$$\hat{H} = \underbrace{\sum_k \frac{\hbar^2 k^2}{2m} (\hat{a}_{\uparrow k}^\dagger \hat{a}_{\uparrow k} + \hat{a}_{\downarrow k}^\dagger \hat{a}_{\downarrow k})}_{\hat{H}_0} + \frac{U_0}{\mathcal{V}} \underbrace{\sum_{\substack{k_1, k_2, k_3, k_4 \\ \mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4}} \hat{a}_{\uparrow k_3}^\dagger \hat{a}_{\downarrow k_4}^\dagger \hat{a}_{\downarrow k_2} \hat{a}_{\uparrow k_1}}_{\hat{V}} \quad (4.33)$$

From this Hamiltonian, let us first find the energy of the unperturbed/ non-interacting Fermi-sea itself. The expectation value is

$$\begin{aligned} E^{(0)} &= \langle FS | \sum_k \frac{\hbar^2 k^2}{2m} (\hat{a}_{\uparrow k}^\dagger \hat{a}_{\uparrow k} + \hat{a}_{\downarrow k}^\dagger \hat{a}_{\downarrow k}) | FS \rangle \quad (\hat{H}_0 \text{ only!}) \\ &= (4\pi) \int_0^{k_{F,\uparrow}} dk k^2 \underbrace{D}_{\text{density of states}} \frac{\hbar^2 k^2}{2m} + (4\pi) \int_0^{k_{F,\downarrow}} dk k^2 D \frac{\hbar^2 k^2}{2m} \\ &= \underbrace{Eq. (4.6), Eq. (4.8)}_{D=\mathcal{V}/(2\pi)^3} \frac{3}{5} (E_{F\uparrow} N_\uparrow + E_{F\downarrow} N_\downarrow) \end{aligned}$$

In the second equality, we used the fact that number operators give 0 for wave-numbers above the Fermi-level and 1 below. Then we also already did the angular integration in spherical 3D coordinates for  $\mathbf{k}$ . Since energies are apparently separately found for each spin species, we have also derived the

**Total energy of an ideal Fermi gas**

$$E_{Tot} = \frac{3}{5} E_F N \quad (4.34)$$

Now let us find the change of the energy due to some small interactions  $U_0$  using Rayleigh-

Schödinger perturbation theory. The first order energy correction, as usual, is:

$$E^{(1)} = \langle FS | \hat{V} | FS \rangle \underset{\substack{k_1=k_3, \\ k_2=k_4}}{=} \frac{U_0}{V} \sum_{k_1, k_2} \langle \hat{a}_{\uparrow k_1}^\dagger \hat{a}_{\uparrow k_1} \rangle \langle \hat{a}_{\downarrow k_2}^\dagger \hat{a}_{\downarrow k_2} \rangle = \frac{U_0}{V} N_\uparrow N_\downarrow \quad (4.35)$$

Below the first equality, we indicate that for a non-vanishing matrix elements, indices in  $\hat{V}$  have to be equal as shown. We show (4.35) here mainly as example for perturbation theory in a many-body context.

Let us also look in the first order correction to the quantum state  $|FS\rangle$ :

The formula you know from basic quantum mechanics perturbation theory is:

$$\underbrace{|n^{(1)}\rangle}_{\text{perturbed state}} = \sum_{k \neq n} \frac{\langle k^{(0)} | \hat{V} | \underbrace{|n^{(0)}\rangle}_{\text{unpert. state}} \rangle}{E_n^{(0)} - E_k^{(0)}} \underbrace{|k^{(0)}\rangle}_{\text{basis}} \quad (4.36)$$

In our many-body context this translates to

$$|FS^{(1)}\rangle = \sum'_{\mathbf{N}} \frac{\langle \mathbf{N} | \hat{V} | FS^{(0)} \rangle}{E^{(0)} - E_{\mathbf{N}}} |\mathbf{N}\rangle \quad (4.37)$$

- The prime ' on the sum shall denote that the sum does not include the state  $|FS\rangle$  itself.
- We use Fock-states  $|\mathbf{N}\rangle$ , see Eq. (2.2), for Fermions, taking into account occupations of different spin states also.
- We find

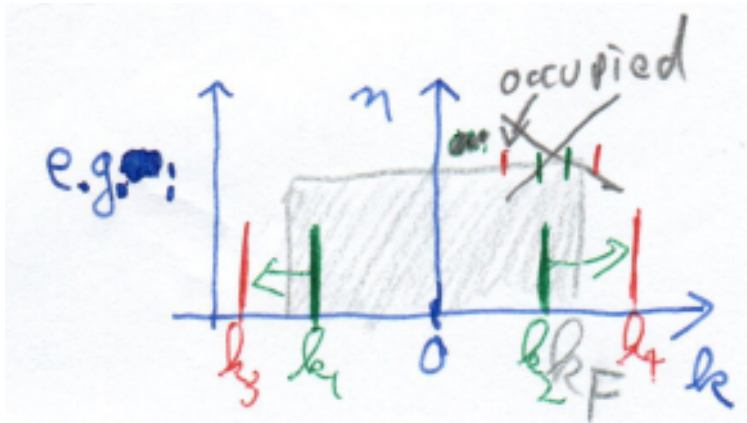
$$E_{\mathbf{N}} = \sum_k \frac{\hbar^2 k^2}{2m} (N_{k\uparrow} + N_{k\downarrow})$$

- For  $\hat{V}$ , see Eq. (4.33).

Let's evaluate the required Matrix elements:

$$\langle \mathbf{N} | \hat{V} | FS^{(0)} \rangle = \frac{U_0}{V} \sum_{\substack{k_1, k_2, k_3, k_4: \\ \mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4}} \langle \mathbf{N} | \hat{a}_{\uparrow k_3}^\dagger \hat{a}_{\downarrow k_4}^\dagger \underbrace{\hat{a}_{\downarrow k_2} \hat{a}_{\uparrow k_1}}_{\substack{\text{we need } |k_1|, |k_2| < k_F \\ \text{we need } k_1 = k_3, k_2 = k_4 \\ \text{Or, } |k_3|, |k_4| > k_F}} | FS^{(0)} \rangle \quad (4.38)$$

Below the braces we indicate conditions for operators acting on states to gives something non-zero. One choice,  $k_1 = k_3$ ,  $k_2 = k_4$  is boring, because we end up coupling  $|FS\rangle$  with itself. However for the second choice  $|k_3|, |k_4| > k_F$  we mix  $|FS\rangle$  with the “double particle-hole excitation” state sketched below:



**left:** Double particle-hole excitation: A state with the filled Fermi sea, but then two atoms at momenta  $k_1$  and  $k_2$  were removed, and lifted above the Fermi surface to  $k_3$  and  $k_4$ .

Let us give this is definition:

**Particle-hole state**

$$| \underbrace{(k_3 \uparrow)^e}_{\text{excitation with wave-vector } k_3} \underbrace{(k_4 \downarrow)^e}_{\text{excitation with wave-vector } k_4} \underbrace{(k_2 \downarrow)^h}_{\text{hole with wave-vector } k_2} \underbrace{(k_1 \uparrow)^h}_{\text{hole with wave-vector } k_1} \rangle \quad (4.39)$$

eg:                      spin $\uparrow$     spin $\downarrow$

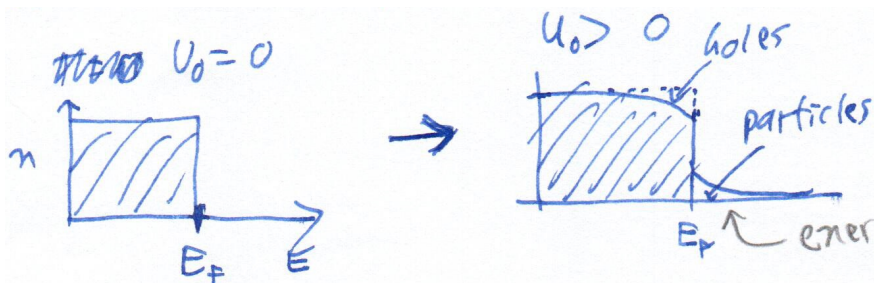
The perturbed Fermi-sea from Eq. (4.37) thus is

$$|FS^{(1)}\rangle = |FS^{(0)}\rangle + \frac{U_0}{V} \sum_{\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{k}_4} \frac{|(k_3 \uparrow)^e (k_4 \downarrow)^e (k_2 \downarrow)^h (k_1 \uparrow)^h\rangle}{E^{(0)} - [\sum_{l=1, \dots, 4} \frac{\hbar^2 |k_l^2 - k_F^2|}{2m} + E^{(0)}]} \quad (4.40)$$

It is said that the interactions dress the FS with particle+hole pairs: A

**Fermi-liquid** is a Fermi sea, which interactions dress with particle+hole pairs as in Eq. (4.40).

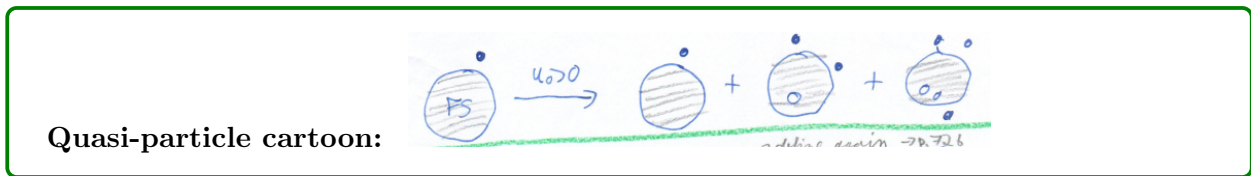
This leads to a softening/smearing out of the Fermi edge even at  $T = 0$ :



**left:** Fermion energy distribution without interactions (left), and with weak repulsive interactions (right), forming a Fermi-liquid. Particle and hole excitation becomes increasingly unlikely away from the Fermi surface, due to the energy denominator in (4.40).

Similarly to the ground-state, in the Fermi-liquid, also excited-states get dressed with other excited many-body states.

Fermi liquid theory can be understood as free fermions  $|k, \sigma\rangle$  evolving into fermionic quasi-particles with the same momentum and spin, due to interaction/dressing. These have a slightly modified effective mass  $m^*$ .



- Most properties of Fermi-liquid system are (surprisingly) similar to the non-interacting cases.
- Applied to electrons in a metal, this describes most non-superconducting metals.
- Cold-atom experiments:  
See Nascimbene et al. Nature 463 1057 (2010).  
Horikoshi et al. Science 327 442 (2010).