# **Rokhlin Dimension for Group Actions**

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Preliminaries

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The Structure of the Crossed Product

# **Preliminaries**

Unless stated otherwise,

- All C\*-algebras will be unital and separable (denoted A, B, C, . . .)
- All topological spaces will be compact, Hausdorff (denoted X, Y, Z, . . .)
- All groups will be finite (denoted *G*, *H*,...)

A group action of G on A is a group homomorphism

 $\alpha: G \to \operatorname{Aut}(A)$ 

Given such an action, one constructs a crossed product C\*-algebra

 $A \rtimes_{\alpha} G$ 

#### **Question:** Permanence

Suppose A satisfies a property (P), then can we impose conditions on  $\alpha$  so that  $A \rtimes_{\alpha} G$  also satisfies property (P)?

Examples of (P) include

- 1. Simplicity
- 2. Nuclearity/Exactness
- 3. Finite nuclear dimension/stable rank/real rank
- 4. Stability  $(A \otimes \mathcal{K} \cong A)$
- 5. Classifiability (by K-theoretic invariants)

The motivation comes from the commutative case.

# **Group Actions on Spaces**

# **Covering Dimension of a Space**

## Definition

Let  $n \in \mathbb{N}$ . A finite open cover  $\mathcal{U}$  of X is said to be *n*-decomposable if there is a decomposition  $\mathcal{U} = \mathcal{U}_0 \sqcup \mathcal{U}_1 \sqcup \ldots \sqcup \mathcal{U}_n$  such that each  $\mathcal{U}_i$  consists of mutually disjoint sets.

The following cover of  $S^1$  is 1-decomposable.



One thinks of an *n*-decomposable cover as a way of covering the space with (n + 1) colours, where each colour corresponds to a single  $U_i$ .

#### Definition

The *Lebesgue covering dimension* of X is the least integer n such that every finite open cover  $\mathcal{U}$  of X has a finite refinement  $\mathcal{V}$  which is *n*-decomposable. We denote this number by

# $\dim(X)$

All spaces in this talk will be assumed to have finite dimension.

A group action of G on X is a group homomorphism

 $\beta: G \to \operatorname{Homeo}(X)$ 

Given such an action, we get an induced action of  $\alpha: G \to \operatorname{Aut}(C(X))$  by

$$\alpha_g(f)(x) := f(\beta_{g^{-1}}(x))$$

An action  $G \curvearrowright_{\beta} X$  is said to be *free* if, for any  $x \in X$  and  $g \in G$ ,

$$g \cdot x = x \Rightarrow g = e$$

Some examples include:

1. *G* acts on itself by left-multiplication (where G = X carries the discrete topology). We denote this action by

 $\lambda: G \to \operatorname{Homeo}(G)$ 

2.  $G = \mathbb{Z}_n$  acts on  $X = S^1$  by 'rotation by  $2\pi/n$ '

$$\overline{k} \cdot z := e^{2\pi i k/n} z$$

## Free Group Actions on Spaces

#### Definition

Let  $G \curvearrowright_{\beta} X$ ,  $\mathcal{U}$  be a finite open cover of X, and  $n \in \mathbb{N}$ . We say that  $\mathcal{U}$  is *n*-decomposable with respect to G if we can write  $\mathcal{U} = \mathcal{U}_0 \sqcup \mathcal{U}_1 \sqcup \ldots \sqcup \mathcal{U}_n$  where, for each  $0 \le i \le n$ , each  $\mathcal{U}_i$  consists of |G| mutually disjoint sets

$$\mathcal{U}_i = \{V_i^g : g \in G\}$$

such that

$$g \cdot V_i^h = V_i^{gh}$$

In other words, such a cover of X corresponds to a *colouring* of X, where each colour respects the action of G.

#### Lemma 1

If X has a cover that is *n*-decomposable with respect to G, then the action is free.

#### Proof.

If  $x \in X$ , then there exists  $0 \le i \le n$  and  $h \in G$  such that  $x \in V_i^h$ . Now if  $g \in G$  is such that  $g \cdot x = x$ , then

$$x = g \cdot x \in g \cdot V_i^h = V_i^{gh}$$

If  $g \neq e$ , then  $V_i^g$  and  $V_i^{gh}$  are disjoint. Hence, g = e must hold.

#### Theorem

Let  $G \curvearrowright_{\beta} X$  be a free action. Then, there exists  $n \in \mathbb{N}$  and an open cover  $\mathcal{U}$  of X that is *n*-decomposable with respect to G.

## **Proof by Example**

Let  $X = S^1$  and  $G = \mathbb{Z}_6$  acting by rotation as above.

• Observe that  $X/G \cong S^1$ 



# Proof by Example

• Start with an open cover of X/G like  $\{W_0, W_1\}$  shown below.



• Lift this cover to get an 1-decomposable cover of X with respect to G.

# Decomposable Actions on C\*-Algebras

Given a linear map  $\varphi: A \to B$  between two C\*-algebras, we obtain a linear map

$$\varphi^{(n)}: M_n(A) \to M_n(B)$$

given by

 $(a_{i,j})\mapsto (\varphi(a_{i,j}))$ 

#### Definition

A linear map  $\varphi : A \to B$  is said to be *completely positive* if  $\varphi^{(n)}$  is positive for each  $n \in \mathbb{N}$ .

A *c.c.p.* map is a contractive, completely positive map.

Two elements  $a, b \in A$  are said to be *orthogonal* (in symbols,  $a \perp b$ ) if

$$ab = a^*b = ab^* = ba = 0$$

#### Definition

A c.c.p. map  $\varphi: A \to B$  is said to have *order zero* if, for any  $a, b \in A$ ,

 $a \perp b \Rightarrow \varphi(a) \perp \varphi(b)$ 

# **Order Zero Maps**

- 1. Any \*-homomorphism has order zero.
- 2. If  $\pi : A \to B$  is a \*-homomorphism and  $h \in \pi(A)' \cap B$  is a positive element, then

$$a\mapsto h\pi(a)$$

is an order zero map.

#### Theorem (Winter and Zacharias, 2009)

Every c.c.p. order zero map has the form of Example 2. Furthermore, there is a one-to-one correspondence between c.c.p. order zero maps  $\varphi : A \to B$  and \*-homomorphisms

 $\pi_{\varphi}: C_0[0,1) \otimes A \rightarrow B$ 

given by  $\varphi(a) = \pi_{\varphi}(\mathsf{id}_{C_0[0,1)} \otimes a).$ 

Given a group action  $G \curvearrowright_{\alpha} A$ , the centre

$$\mathcal{Z}(A) = \{ a \in A : ab = ba \quad \forall b \in A \}$$

is G-invariant, so we get an induced action  $G \curvearrowright_{\alpha} \mathcal{Z}(A)$ .

### Definition

A linear map  $\varphi: C(G) \rightarrow \mathcal{Z}(A)$  is said to be G-equivariant if

$$\varphi(\lambda_g(f)) = \alpha_g(\varphi(f))$$

for all  $f \in C(G)$ .

Recall that  $\lambda : G \to Aut(C(G))$  is given by

$$\lambda_g(f)(h) := f(g^{-1}h)$$

#### Definition 1

Let  $n \in \mathbb{N}$ . We say that a group action  $G \curvearrowright_{\alpha} A$  is *n*-decomposable if there exists (n + 1) maps

$$\varphi_0, \varphi_1, \ldots, \varphi_n \colon C(G) \to \mathcal{Z}(A)$$

which are G-equivariant, c.c.p., have order zero, and satisfy

$$\varphi_0(1_{\mathcal{C}(\mathcal{G})}) + \varphi_1(1_{\mathcal{C}(\mathcal{G})}) + \ldots + \varphi_n(1_{\mathcal{C}(\mathcal{G})}) = 1_A$$

We say that  $\alpha$  is *decomposable* if it is *n*-decomposable for some natural number  $n \in \mathbb{N}$ .

### $Decomposable \Rightarrow Free$

#### Lemma 2

Let  $G \curvearrowright_{\beta} X$  be a group action and  $\alpha : G \to Aut(C(X))$  be the induced action. If  $\alpha$  is decomposable, then  $\beta$  is free.

#### Proof.

Let  $\varphi_i : C(G) \to C(X)$  be the maps as above. For  $g \in G$ , define

$$V_i^g := \varphi_i(\delta_g)^{-1}((0, +\infty))$$

and set  $\mathcal{U}_i := \{V_i^g : g \in G\}$ . Then one can verify that

$$\mathcal{U} = \mathcal{U}_0 \sqcup \mathcal{U}_1 \sqcup \ldots \sqcup \mathcal{U}_n$$

is *n*-decomposable with respect to *G*. So  $\beta$  is free by Lemma 1.

#### Theorem (Gardella, 2014)

Let  $G \curvearrowright_{\beta} X$  be a group action and  $\alpha : G \to Aut(C(X))$  be the induced action. Then,  $\alpha$  is decomposable if and only if  $\beta$  is free.

# The Structure of the Crossed Product

## The Structure of the Crossed Product

Recall that an action  $G \curvearrowright_{\alpha} A$  is *n*-decomposable if there exist (n + 1) *G*-equivariant, c.c.p., order zero maps

$$\varphi_0, \varphi_1, \ldots, \varphi_n : C(G) \to \mathcal{Z}(A)$$

such that  $\sum_{i=0}^{n} \varphi_i(1) = 1_A$ .

#### Theorem 1 (Folklore)

If  $\alpha$  is  $\mathit{n}\text{-decomposable},$  there is a commuting diagram



where Y is a compact metric space with  $\dim(Y) \leq n$ .

# Conclusion

Theorem 1 allows us to understand properties of  $A \rtimes_{\alpha} G$  by 'factoring through'  $C(Y) \otimes M_{|G|}(A)$ .

#### Corollary

Let (P) denote one of the following properties:

- 1. Finite rank (stable/real rank/nuclear dimension)
- 2. Stability
- 3. Nuclear, separable, and satisfying the UCT
- 4. ... etc.

If A satisfies property (P) and  $\alpha$  is decomposable, then  $A \rtimes_{\alpha} G$  also satisfies property (P).

# **Rokhlin Dimension**

Unfortunately, requiring that an action is decomposable is too restrictive in the noncommutative case. Therefore, one defines an approximate version of it.

#### Definition

Let  $n \in \mathbb{N}$ . An action  $\alpha : G \to \operatorname{Aut}(A)$  is said to be approximately *n*-decomposable if, for every finite set  $F \subset A$  and every  $\epsilon > 0$ , there are (n + 1) c.c.p. order zero linear maps

$$\varphi_0, \varphi_1, \ldots, \varphi_n : C(G) \to A$$

satisfying the following conditions:

1. Each  $\varphi_i$  is 'approximately equivariant'.

 $\|\alpha_{g}(\varphi_{i}(\delta_{h})) - \varphi_{i}(\lambda_{g}(\delta_{h}))\| < \epsilon \quad \forall g, h \in G$ 

2. Each  $\varphi_i$  is 'approximately central'

 $\|arphi_i(\delta_h)a - aarphi_i(\delta_h)\| < \epsilon \quad orall a \in F, ext{ and } h \in G$ 

 $\|\varphi_i(\delta_g)\varphi_j(\delta_h) - \varphi_j(\delta_h)\varphi_i(\delta_g)\| < \epsilon \quad \forall h, g \in G, 0 \le i, j \le n$ 

3. The  $\{\varphi_i\}$  are an 'approximate partition of unity'.

$$\|\sum_{i=0}^n \varphi_i(1_{C(G)}) - 1_A\| < \epsilon$$

#### Definition (Hirshberg, Winter, and Zacharias, 2015)

The Rokhlin dimension (with commuting towers) of an action  $\alpha : G \to Aut(A)$  is the least value of  $n \in \mathbb{N}$  such that  $\alpha$  is approximately *n*-decomposable. We denote the integer by

 $\dim^{c}_{\textit{Rok}}(\alpha)$ 

Note that if  $\dim_{Rok}^{c}(\alpha) = 0$ , then  $\alpha$  has the *Rokhlin property*.

Let  $A := \bigotimes_{n=1}^{\infty} M_2(\mathbb{C})$  be the UHF algebra of type  $2^{\infty}$  and  $\alpha \in Aut(A)$  be the order two automorphism given by

$$\alpha = \bigotimes_{n=1}^{\infty} \operatorname{Ad} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Then  $\alpha$  induces an action of  $\mathbb{Z}_2$  on A such that  $\dim_{Rok}^c(\alpha) = 0$ .

# Example 2 (Hirshberg and Phillips, 2015)

Let  $\theta \in \mathbb{R}$  be irrational, and  $A = A_{\theta}$  be the corresponding irrational rotation algebra generated by unitaries  $\{u, v\}$  such that

$$uv = e^{2\pi i\theta}vu$$

Let  $\alpha \in Aut(A)$  be the order two automorphism satisfying

$$\alpha(v) = v$$
 and  $\alpha(u) = -u$ 

Then,  $\alpha$  induces an action of  $\mathbb{Z}_2$  with the property that  $\dim_{Rok}^{c}(\alpha) = 1$ .

Note that both actions above are not decomposable in the earlier sense because the underlying algebras are simple, and so have trivial centers. However, if  $G \curvearrowright_{\beta} X$  is a group action and  $\alpha : G \to Aut(C(X))$  is the induced action, then the following are equivalent:

- $\dim^{c}_{\textit{Rok}}(\alpha) < +\infty$
- $\alpha$  is decomposable in the sense of Definition 1.
- $\beta$  is free.

# **Obstructions (Hirshberg and Phillips, 2015)**

• Suppose  $G \curvearrowright_{lpha} A$  has the property that

 $\dim_{\mathit{Rok}}^{\mathsf{c}}(\alpha) < \infty$ 

Then  $\alpha$  is point-wise outer: There cannot exist  $e \neq h \in G$ and a unitary  $u \in U(A)$  such that

$$\alpha_h(a) = uau^* \quad \forall a \in A$$

 There are also some K-theoretic obstructions. For instance, If
 A = Z or O<sub>∞</sub>, and G is a non-trivial finite group, then no
 action of G on A can have dim<sup>c</sup><sub>Rok</sub>(α) < ∞.
 </li>

# The Structure of the Crossed Product

Recall that we had the following theorem for decomposable actions.



where Y is a compact metric space with  $\dim(Y) \leq n$ .

We wish to describe an analogous result for approximately decomposable actions (ie. actions with finite Rokhlin dimension).

## A certain 'nice' Crossed Product

Let  $G \curvearrowright_{\beta} X$  be a free group action and  $G \curvearrowright_{\alpha} A$  be a group action. Then, we get a natural diagonal action

 $\gamma: G \to C(X, A)$ 

given by  $\gamma_g(f)(x) := \alpha_g(f(g^{-1} \cdot x)).$ 

Lemma (Gardella, Hirshberg, and Santiago, 2021)

The crossed product C\*-algebra

 $C(X, A) \rtimes_{\gamma} G$ 

is a continuous C(X/G)-algebra with fibers canonically isomorphic to

 $M_{|G|}(A).$ 

Theorem (Gardella, Hirshberg, and Santiago, 2021)

Let  $\alpha : G \to \operatorname{Aut}(A)$  be a group action such that

 $\dim_{\mathit{Rok}}^{\mathsf{c}}(\alpha) < \infty$ 

Then, there is an 'approximately commuting diagram'



where X is a free G-space with  $\dim(X) \leq \dim_{Rok}^{c}(\alpha)$ .

# Conclusion

Many of the properties of C\*-algebras listed above are defined in terms of approximations. Hence, we get the following corollary.

#### Corollary (Gardella, Hirshberg, and Santiago, 2021)

Let (P) denote one of the following properties:

- 1. Finite rank (stable/real rank/nuclear dimension)
- 2. Stability
- 3. Nuclear, separable, and satisfying the UCT
- 4. ... etc.
- If A satisfies property (P) and

 $\dim_{\mathit{Rok}}^{\mathsf{c}}(\alpha) < \infty$ 

then  $A \rtimes_{\alpha} G$  also satisfies property (P).

## Summary

#### The Goal: Permanence Properties

Given a group action  $G \curvearrowright_{\alpha} A$ , we are interested in conditions under which properties pass from A to the crossed product C\*-algebra  $A \rtimes_{\alpha} G$ .

- The analogue of *free* actions on spaces are *decomposable* actions. Given a decomposable action, one has a structure theorem for the crossed product, which allows us to prove permanence properties.
- This definition is too restrictive in general, so we define an 'approximate' version of it, viz. *finite Rokhlin dimension (with commuting towers)*. If  $\alpha$  has this property, then we have an analogous structure theorem for the crossed product. Once again, this allows us to prove permanence properties.

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# Thank you!