

# Non-Stable K-theory for $C^*$ -algebras

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# Homotopy Groups of Unitary Groups

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# The unitary group

Let  $A$  be a unital  $C^*$ -algebra. Fix  $n \in \mathbb{N}$  and write

$$\mathcal{U}_n(A) := \{u \in M_n(A) : uu^* = u^*u = 1_n\}$$

Then  $\mathcal{U}_n(A)$  is a topological group.

Treating  $1_n$  as a base point, we may define the  $m^{\text{th}}$  homotopy group of  $\mathcal{U}_n(A)$ .

## Definition

$$\pi_m(\mathcal{U}_n(A)) := [S^m, \mathcal{U}_n(A)]_*$$

We wish to calculate/understand these groups better.

## Example: The Complex numbers

- $\mathcal{U}_1(\mathbb{C}) = S^1$ . So

$$\pi_m(\mathcal{U}_1(\mathbb{C})) = \begin{cases} \mathbb{Z} & : n = 1 \\ 0 & : n \neq 1 \end{cases}$$

- However, for  $n > 1$ ,  $\pi_m(\mathcal{U}_n(\mathbb{C}))$  is complicated, and typically has torsion. For instance,

$$\pi_6(\mathcal{U}_2(\mathbb{C})) = \mathbb{Z}_{12}$$

- By Bott periodicity, if  $m > 1$  and  $n \geq \frac{m+1}{2}$ ,

$$\pi_m(\mathcal{U}_n(\mathbb{C})) = \begin{cases} 0 & : m \text{ even} \\ \mathbb{Z} & : m \text{ odd} \end{cases}$$

## Connection to $K$ -theory

Define

$$\theta_A : \mathcal{U}_n(A) \rightarrow \mathcal{U}_{n+1}(A) \text{ given by } a \mapsto \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$$

### Definition

$K_{m+1}(A)$  is the inductive limit of the sequence

$$\dots \rightarrow \pi_m(\mathcal{U}_n(A)) \xrightarrow{\theta_A} \pi_m(\mathcal{U}_{n+1}(A)) \rightarrow \dots$$

Hence, the study these homotopy groups is called *non-stable  $K$ -theory*.

## Example: The UHF algebra of type $2^\infty$

$A = M_{2^\infty}$  is an inductive limit

$$\mathbb{C} \rightarrow M_2(\mathbb{C}) \rightarrow M_4(\mathbb{C}) \rightarrow M_8(\mathbb{C}) \rightarrow \dots$$

where the connecting maps are

$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}$$

The map  $\theta_A : \mathcal{U}(A) \rightarrow \mathcal{U}_2(A)$  is then a homotopy equivalence because

$$\begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} \sim_h \begin{pmatrix} y & 0 \\ 0 & x \end{pmatrix} \text{ in } \mathcal{U}_2(B)$$

for any  $C^*$ -algebra  $B$ .

## Example: The UHF algebra of type $2^\infty$

More generally,

$$\theta_A : \mathcal{U}_{2^n}(A) \xrightarrow{\sim} \mathcal{U}_{2^{n+1}}(A)$$

The same is true if  $A$  is replaced by  $M_n(A)$ , so passing to the limit, for each  $n \in \mathbb{N}$ ,

$$\pi_m(\mathcal{U}_n(A)) \cong K_{m+1}(A) \cong \begin{cases} \mathbb{Z} \left[ \frac{1}{2} \right] & : m \text{ odd} \\ 0 & : m \text{ even} \end{cases}$$

## Definition

A  $C^*$ -algebra  $A$  is called  *$K$ -stable* if the map

$$\theta_A : \pi_m(\mathcal{U}_n(A)) \rightarrow \pi_m(\mathcal{U}_{n+1}(A))$$

is an isomorphism for all  $m, n \in \mathbb{N}$ .

Note that

- $\mathbb{C}$  is not  $K$ -stable. In fact, no finite dimensional  $C^*$ -algebra is.
- $M_{2^\infty}$  is  $K$ -stable.



# Examples

- [Rieffel, 1987] Non-commutative tori  $A_\theta$  with  $\theta$  irrational.
- [Thomsen, 1991] Infinite dimensional, simple AF-algebras (including the UHF algebras)
- [Zhang, 1991] Any purely infinite, simple  $C^*$ -algebra (including the Cuntz algebras  $\mathcal{O}_n$ )
- [Jiang, 1997] The Jiang-Su algebra  $\mathcal{Z}$

If  $A$  is a  $K$ -stable  $C^*$ -algebra, then, for all  $m, n \in \mathbb{N}$ ,

$$\pi_m(\mathcal{U}_n(A)) \cong K_{m+1}(A)$$

Contrast this with the observation that

$$K_1(\mathbb{C}) = 0 \text{ but } \pi_6(\mathcal{U}_2(\mathbb{C})) = \mathbb{Z}_{12}$$

### Goal of this project

To enlarge the class of  $K$ -stable  $C^*$ -algebra to include some non-simple  $C^*$ -algebras, by proving some permanence properties.

## Some Permanence Properties

### Theorem [Thomsen (1991)]

Given a short exact sequence  $0 \rightarrow J \rightarrow A \rightarrow B \rightarrow 0$  of  $C^*$ -algebras, if  $J$  and  $B$  are  $K$ -stable, then  $A$  is  $K$ -stable.

### Proposition [Apurva Seth, PV (2019)]

- Given a pullback diagram

$$\begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & & \downarrow \gamma \\ C & \xrightarrow{\delta} & D \end{array}$$

If  $B$ ,  $C$ , and  $D$  are  $K$ -stable, and one of  $\delta$  or  $\gamma$  is surjective, then  $A$  is  $K$ -stable.

- If  $A$  is  $K$ -stable and  $B$  is commutative, then  $A \otimes B$  is  $K$ -stable.

## $C(X)$ -algebras

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### Definition

Let  $X$  be a compact Hausdorff space and  $A$  a unital  $C^*$ -algebra.  $A$  is called a  $C(X)$ -algebra if there is a unital  $*$ -homomorphism

$$\Delta : C(X) \rightarrow Z(A)$$

where  $Z(A)$  denotes the center of  $A$ .

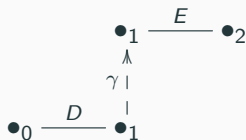
In other words,  $A$  carries a central  $C(X)$ -action.

## Examples

- $A := C(X) \otimes D$  for any unital  $C^*$ -algebra  $D$ .
- If  $\gamma : D \rightarrow E$  is a  $*$ -homomorphism, then

$$A := \{(f, g) \in C[0, 1] \otimes D \oplus C[1, 2] \otimes E : \gamma(f(1)) = g(1)\}$$

is a  $C[0, 2]$ -algebra. Pictorially,



- If  $A$  is a unital, separable  $C^*$ -algebra with  $X := \text{Prim}(A)$  Hausdorff, then  $A$  is a  $C(X)$ -algebra. [Dauns-Hoffmann, Fell]

## Continuous $C(X)$ -algebras

Let  $A$  be a  $C(X)$ -algebra. For  $x \in X$ , define

$$I_x := \{f \in C(X) : f(x) = 0\}$$

Then  $I_x$  is an ideal of  $C(X)$ , so  $(I_x \cdot A)$  is an ideal of  $A$ .

### Definition

The *fiber* of  $A$  at  $x$  is the quotient

$$A_x := \frac{A}{(I_x \cdot A)}$$

## Continuous $C(X)$ -algebras

For  $a \in A$ , define  $a(x) \in A_x$  to be the image of  $a$  in  $A_x$ . Define

$$N_a : X \rightarrow \mathbb{R} \text{ given by } x \mapsto \|a(x)\|$$

### Definition

$A$  is called a *continuous*  $C(X)$ -algebra if each  $N_a$  is continuous.

In the example above, if  $A$  is given by the picture



then  $A$  is a continuous  $C[0, 2]$ -algebra if and only if  $\gamma$  is injective.



## Theorem [Apurva Seth, PV (2019)]

Let  $X$  be a compact metric space of finite covering dimension, and let  $A$  be a continuous  $C(X)$ -algebra. If each fiber of  $A$  is  $K$ -stable, then  $A$  is  $K$ -stable.

One may think of this as another permanence property for the class of  $K$ -stable  $C^*$ -algebras.

## Remarks

- If  $X$  is zero-dimensional, then the metrizability condition on  $X$  may be dropped.
- If  $X$  is not metrizable, we may replace covering dimension with inductive dimension (All notions of dimension coincide for compact metric spaces).
- If  $A$  is separable and each fiber is non-zero, then  $X$  is automatically metrizable.
- That  $X$  has finite dimension is crucial for the proof, as it works by induction on the dimension.
- Everything I have said works for non-unital  $C^*$ -algebras as well, with appropriate changes to the definitions.

**Thank you!**